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GREENBLUM & BERNSTEIN, P.L.C. 1950 ROLAND CLARKE PLACE RESTON, VA 20191			COUGHLAN, PETER D	
			ART UNIT	PAPER NUMBER
			2129	

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Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

10/626,668

Applicant(s)

PEACE, TERRENCE B.

Examiner

Peter Coughlan

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 25 July 2003.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-34 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-34 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 25 July 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                        | 4) <input type="checkbox"/> Interview Summary (PTO-413)                     |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)               | Paper No(s)/Mail Date. _____  |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date <u>10/26/03 10/29/03</u>   | 6) <input type="checkbox"/> Other: _____                                    |

## Detailed Action

1. Claims 1-34 are pending in this application.

### ***35 USC § 101***

2. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

Claims 1-34 are rejected under 35 U.S.C. 101 for nonstatutory subject matter. The computer system must set forth a practical application of that § 101 judicial exception to produce a real-world result. Benson, 409 U.S. at 71-72, 175 USPQ at 676-77. The invention is ineligible because it has not been limited to a substantial practical application. A method for testing the validity of a prediction model has no real world purpose. The result is a Boolean value or a numerical value that determines classification or not. The final result is just a number.

Another flaw with the invention is it lacks concreteness. Data that is to be tested is compared to random generated data of a given distribution to determine if it matches. The problem with the invention is the fact the randomness of the determining requirements is random! It cannot be repeated and therefore lacks concreteness.

In determining whether the claim is for a “practical application,” the focus is not on whether the steps taken to achieve a particular result are useful, tangible and concrete, but rather that the final result achieved by the claimed invention is “useful, tangible and concrete.” If the claim is directed to a practical application of the § 101 judicial exception producing a result tied to the physical world that does not preempt the judicial exception, then the claim meets the statutory requirement of 35 U.S.C. § 101.

Comparing incoming data to random generated data has no function. Analyzing data has no purpose. A computer program that calculates, compares and determines data has no real world purpose.

Comparing real data to randomly generated data that is ‘suppose to be of the same distribution’ (One does not know for sure due to the fact it is randomly generated.) is not reliable due to the inherent nature one side of the comparison is randomly generated.

The invention must be for a practical application and either:

- 1) specify transforming (physical thing) or
- 2) have the FINAL RESULT (not the steps) achieve or produce a  
useful (specific, substantial, AND credible),  
concrete (substantially repeatable/ non-unpredictable), AND  
tangible (real world/ non-abstract) result.

A claim that is so broad that it reads on both statutory and non-statutory subject matter, must be amended, and if the specification discloses a practical

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application but the claim is broader than the disclosure such that it does not require the practical application, then the claim must be amended.

Claims that recites a computer output that is of a numeric or Boolean value has no real world function and is not statutory.

Claims when following an algorithm and a portion of that algorithm is randomly generated for comparison lacks concreteness and therefore is not statutory.

### ***Claim Rejections - 35 USC § 103***

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1, 2, 3, 4, 5, 6, 7, 15, 16, 17, 18, 20, 21, 26, 28, 29, 30, 31, 34 are rejected under 35 U.S.C. 103(a) as being unpatentable over Tatsuoka in view of Zhang (U. S. Patent 5855011, referred to as **Tatsuoka**; U. S. Patent 5832182, referred to as **Zhang**)

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Claim 1.

Tatsuoka teaches specifying a test statistic formula (**Tatsuoka**, abstract); computing a numerical value NTS of the test statistic using the test statistic formula and the original data set (**Tatsuko**, C8:17-29; 'NTS' of applicant is equivalent to 'SPS' of Tatsuko.); specifying a probability distribution relating to the original data set. (**Tatsuoka**, C15:11-21; 'Specifying' of applicant is equivalent to 'jumping' of Tatsuka.)

Tatsuoka does not teach creating a plurality of random data sets RDB(i) using randomly generated data, in which i is a positive integer.

Zhang teaches creating a plurality of random data sets RDB(i) using randomly generated data, in which i is a positive integer. (**Zhang**, C20:40-59; 'Data sets' of applicant is equivalent to 'each intended cluster' of Zhang.) It would have been obvious to a person having ordinary skill in the art at the time of applicant's invention to modify the teachings of Tatsuoka by incrementing a vector into positive increments as taught by Zhang to create a plurality of random data sets RDB(i) using randomly generated data, in which i is a positive integer.

For the purpose of containing the data into a usable form for future analysis.

Tatsuoka teaches computing a plurality of numerical values TS(i) of the test statistic corresponding to the plurality of random data sets RDB(i), and storing each numerical value TS(i) in a numerical test statistic array (**Tatsuoka**, C16:1-8; 'TS(i)' of applicant is equivalent to 'test item counter' of Tatsuoka.); and comparing the numerical value NTS with the numerical test statistic array to

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determine a non-empty set of percentile values corresponding to the numerical value NTS and an associated non-empty set of percentile indices. (**Tatsuoka**, C16:9-17; 'Comparing' of applicant is equivalent to 'test subject classified' in step 19 of Tatsuoka.)

Claim 2.

Tatsuoka does not teach each of the plurality of data sets RDB(i) is distributed according to the probability distribution.

Zhang teaches each of the plurality of data sets RDB(i) is distributed according to the probability distribution. (**Zhang**, C20:40-59; 'Probability distribution' of applicant is equivalent to 'distributed uniformly' of Zhang.) It would have been obvious to a person having ordinary skill in the art at the time of applicant's invention to modify the teachings of Tatsuoka by randomly generating a probability distribution to a specific model as taught by Zhang to have a plurality of data sets RDB(i) is distributed according to the probability distribution.

For the purpose of comparing a given set of data to a randomly generated set of data.

Claims 3, 15, 28 and 29

Tatsuoka teaches in which each the data sets RDB(i) has a size that is functionally equivalent to a size of the original data set. (**Tatsuoka**, C1:43-54; 'Size of RDB(i)', 'equivalent' and 'original data set' of applicant is equivalent to 'test items', 'allowing' and 'model' of Tatsuoka.)

Claim 4.

Tatsuoka does not teach determining a null hypothesis defining a potential relationship among data in the original data set and rejecting the null hypothesis as not accurately representing the original data set when the value of a function of the non-empty set of percentile indices, associated with the non-empty set of percentile values, which correspond to the numerical value NTS, is in an extreme range, indicating that the numerical value NTS did not arise by chance.

Zhang teaches determining a null hypothesis defining a potential relationship among data in the original data set (**Zhang**, C15:23-50; 'Determining a null hypothesis' of applicant is equivalent to 'new tree starts with null' of Zhang.); and rejecting the null hypothesis as not accurately representing the original data set when the value of a function of the non-empty set of percentile indices, associated with the non-empty set of percentile values, which correspond to the numerical value NTS, is in an extreme range, indicating that the numerical value NTS did not arise by chance. (**Zhang**, C15:23 through C16:15; Rejection of the null hypothesis is done by the function 'Re-build'. The numerical value of 'NTS' of applicant is equivalent to the value generated by 'ClosestPath' of Zhang.) It would have been obvious to a person having ordinary skill in the art at the time of applicant's invention to modify the teachings of Tatsuoka by comparing a value of the incoming data to a value of the randomly generated data as taught by Zhang to determine a null hypothesis defining a potential relationship among data in the original data set and rejecting the null



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hypothesis as not accurately representing the original data set when the value of a function of the non-empty set of percentile indices, associated with the non-empty set of percentile values, which correspond to the numerical value NTS, is in an extreme range, indicating that the numerical value NTS did not arise by chance.

For the purpose of determining is a correlation exists.

Claims 5 and 18.

Tatsuoka does not teach in which the non-empty set of percentile values comprises the greatest percentile value less than NTS and the smallest percentile value greater than NTS, and the non-empty set of percentile indices comprises the two percentile indices corresponding to the two percentile values of the non-empty set of percentile values.

Zhang teaches in which the non-empty set of percentile values comprises the greatest percentile value less than NTS and the smallest percentile value greater than NTS, and the non-empty set of percentile indices comprises the two percentile indices corresponding to the two percentile values of the non-empty set of percentile values. (**Zhang**, C15:23 through C16:15; 'Smallest percentile' of applicant is equivalent to 'CurrentPath' of Zhang. 'Greatest percentile' of applicant is accomplished by the Boolean function 'status' of Zhang.) It would have been obvious to a person having ordinary skill in the art at the time of applicant's invention to modify the teachings of Tatsuoka by using the highest

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and lowest percentile values as taught by Zhang to have in which the non-empty set of percentile values comprises the greatest percentile value less than NTS and the smallest percentile value greater than NTS, and the non-empty set of percentile indices comprises the two percentile indices corresponding to the two percentile values of the non-empty set of percentile values.

For the purpose of using the greatest difference between two data sets for calculation of determining a given distribution.

Claim 6.

Tatsuoka teaches in which one percentile index is selected, when the corresponding percentile value meets a predetermined criterion for proximity to the numerical value NTS of the test statistic corresponding to the original data set. (**Tatsuoka**, C16:9-17; 'Proximity' of applicant is equivalent to 'threshold' of Tatsuoka.)

Claims 7 and 21.

Tatsuoka teaches in which the function of percentile indices is a linear combination of the non-empty set of percentile indices. (**Tatsuoka**, C6:51 through C7:4; Tatsuoka illustrates a linear function using summation of percentile indices.)

Claim 16.

Tatsuoka teaches in which the relationship between the plurality of numerical values and the numerical value corresponding to the original data set indicates whether the original data set is characterized by at least one factor that is not based on chance. (**Tatsuoka**, abstract; 'Not based on chance' of applicant is equivalent to 'decision-theoretic rules' of Tatsuoka.)

Claims 17 and 26.

Tatsuoka does not teach determining a plurality of percentile values, based on the plurality of numerical values, and a plurality of percentile indices corresponding to the plurality of percentile values ; and determining a non-empty set of selected percentile indices from the plurality of percentile indices, corresponding to the plurality of random data sets, by determining a non-empty set of percentile values from the plurality of percentile values which meets a predetermined criterion for proximity to the numerical value of the test statistic corresponding to the original data set.

Zhang teaches determining a plurality of percentile values, based on the plurality of numerical values, and a plurality of percentile indices corresponding to the plurality of percentile values (**Zhang**, C15:23 through C16:15; A plurality of numerical values of applicant is equivalent to 'CurentPath' and the Boolean function 'status' of Zhang.); and determining a non-empty set of selected percentile indices from the plurality of percentile indices, corresponding to the plurality of random data sets, by determining a non-empty set of percentile values from the plurality of percentile values which meets a predetermined

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criterion for proximity to the numerical value of the test statistic corresponding to the original data set. (**Zhang**, C15:23 through C16:15; The generation of the values for 'CurrentPath' and the Boolean function 'status' are predetermined. It would have been obvious to a person having ordinary skill in the art at the time of applicant's invention to modify the teachings of Tatsuoka by generating values based on analysis and determining if the relationship between the values classifies given a proximity model as taught by Zhang to determine a plurality of percentile values, based on the plurality of numerical values, and a plurality of percentile indices corresponding to the plurality of percentile values ; and determining a non-empty set of selected percentile indices from the plurality of percentile indices, corresponding to the plurality of random data sets, by determining a non-empty set of percentile values from the plurality of percentile values which meets a predetermined criterion for proximity to the numerical value of the test statistic corresponding to the original data set.

For the purpose of determining if given set of data matches a known distribution.

Claim 20.

Tatsuoka teaches the computer executable code further causing the computing device to determine that the numerical value of the test statistic corresponding to the original data set did not arise by chance when the value of a predetermined function of the selected percentile indices is outside a predetermined range of the plurality of percentile indices indicating numerical

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values that did arise by chance. (**Tatsuoka**, abstract; 'Not arise on chance' of applicant is equivalent to 'decision-theoretic rules' of Tatsuoka.)

Claim 30.

Tatsuoka teaches the program further comprising: a distribution determining source code segment that determines the distribution of the original data set by comparing the original data set with a plurality of theoretical distributions. (**Tatsuoka**, C23:59 through C24:22)

Claim 31.

Tatsuoka teaches a distribution determining source code segment that determines the distribution of the original data set by sorting the data into bins along at least one dimension. (**Tatsuoka**, C23:59 through C24:22; Tatsuoka uses Bayes theorem which calculates all possible probabilities of all combinations of a situation. For example if a situation has 3 outcomes A, B and C with respective probabilities of 0.2, 0.3 and 0.5 then Tatsuoka illustrates 3 bins 'A', 'B' and 'C'.)

Claim 34.

Tatsuoka teaches the program further comprising a distribution determining source code segment that determines an empirical distribution of the original data set. (**Tatsuoka**, C23:59 through C24:22; Tatsuoka illustrates a decision rule that analyzes a posterior distribution.)

***Claim Rejections - 35 USC § 103***

4. Claims 8, 9, 10, 11, 19, 22, 23, 27, 33 are rejected under 35 U.S.C. 103(a) as being unpatentable over the combination of Tatsuoka, and Zhang, as set forth above, and further in view of Shen (U. S. Patent 6041788, referred to as **Shen**)

Claim 8.

Tatsuoka, and Zhang do not teach in which the test statistic comprises a function of prediction error.

Shen teaches in which the test statistic comprises a function of prediction error. (**Shen**, C3:1-28; 'Prediction error' of applicant is equivalent to 'confidence interval' of Shen.) It would have been obvious to a person having ordinary skill in the art at the time of applicant's invention to modify combined teachings of Tatsuoka, and Zhang by using a function that determines prediction error as taught by Shen to have the test statistic comprises a function of prediction error.

For the purpose of determining if the results fall within a given range.

Claim 9.

Tatsuoka, and Zhang do not teach in which the extreme range comprises one of above a 97.5.sup.th percentile and below a 2.5.sup.th percentile.

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Shen teaches in which the extreme range comprises one of above a 97.5.sup.th percentile and below a 2.5.sup.th percentile. (**Shen**, C3:1-28; Above 97.5% or below 2.5% is a range of 95%, +/- 47.5%) It would have been obvious to a person having ordinary skill in the art at the time of applicant's invention to modify combined teachings of Tatsuoka, and Zhang by giving a specific range for a prediction of error as taught by Shen to have the extreme range comprises one of above a 97.5.sup.th percentile and below a 2.5.sup.th percentile.

For the purpose of using 95% verses 5% for determination of an extreme range.

Claims 10 and 23.

Tatsuoka, and Zhang do not teach in which creating the plurality of random data sets RDB(i) comprises using randomly generated data according to a Monte Carlo technique.

Shen teaches in which creating the plurality of random data sets RDB(i) comprises using randomly generated data according to a Monte Carlo technique. (**Shen**, C2:54-67) It would have been obvious to a person having ordinary skill in the art at the time of applicant's invention to modify combined teachings of Tatsuoka, and Zhang by using Monte Carlo for generating random numbers as taught by Shen to create the plurality of random data sets RDB(i) comprises using randomly generated data according to a Monte Carlo technique.

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For the purpose of using a tested algorithm for the generation of random numbers.

Claim 11.

Tatsuoka, and Zhang do not teach constructing a confidence interval for the test statistic.

Shen teaches constructing a confidence interval for the test statistic. (Shen, C3:1-28; Shen illustrates a confidence interval above 95%) It would have been obvious to a person having ordinary skill in the art at the time of applicant's invention to modify combined teachings of Tatsuoka, and Zhang by setting the boundaries for what is classified and what is not classified as taught by Shen to construct a confidence interval for the test statistic.

For the purpose of setting definite limits and these limits can be changed at a later date in needed.

Claim 19

Tatsuoka, and Zhang do not teach the computer executable code further causing the computing device to select one percentile index when the corresponding percentile value meets a predetermined criterion for proximity to the numerical value of the test statistic corresponding to the original data set.

Shen teaches the computer executable code further causing the computing device to select one percentile index when the corresponding percentile value meets a predetermined criterion for proximity to the numerical



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value of the test statistic corresponding to the original data set. (**Shen**, C3:1-28; Shen illustrates a 95% range with selection occurring when outside the 95% distribution.) It would have been obvious to a person having ordinary skill in the art at the time of applicant's invention to modify combined teachings of Tatsuoka, and Zhang by having the method select a value index when a threshold has been exceeded as taught by Shen to have the computer executable code further causing the computing device to select one percentile index when the corresponding percentile value meets a predetermined criterion for proximity to the numerical value of the test statistic corresponding to the original data set.

For the purpose of using the computer to flag an instance when it has exceeded a given threshold limit.

Claim 22.

Tatsuoka, and Zhang do not teach in which the computer executable code further causes the computing device to construct a confidence interval for the test statistic.

Shen teaches in which the computer executable code further causes the computing device to construct a confidence interval for the test statistic. (**Shen**, C3:1-28; 'Prediction error' of applicant is equivalent to 'confidence interval' of Shen.) It would have been obvious to a person having ordinary skill in the art at the time of applicant's invention to modify combined teachings of Tatsuoka, and Zhang by using the computer to generate threshold limits as taught by Shen

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have the computer executable code further causes the computing device to construct a confidence interval for the test statistic.

For the purpose of using a function within the code to adjust the threshold limits as needed based on a number of input parameters.

Claim 27.

Tatsuoka, and Zhang do not teach in which the range of values is based on the plurality of associated percentile indices.

Shen teaches in which the range of values is based on the plurality of associated percentile indices. (**Shen**, C3:1-28; Shen illustrates a generation of a distribution which is a range of values.) It would have been obvious to a person having ordinary skill in the art at the time of applicant's invention to modify combined teachings of Tatsuoka, and Zhang by using input parameters to determine threshold limits as taught by Shen to have the range of values is based on the plurality of associated percentile indices.

For the purpose of taking into account the size, dimensions and distributions of the inputted data.

Claim 33.

Tatsuoka, and Zhang do not teach a confidence interval source code segment that constructs a confidence interval for the test statistic.

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Shen teaches a confidence interval source code segment that constructs a confidence interval for the test statistic. (**Shen**, C3:1-28; Shen illustrates the generation of a confidence interval.) It would have been obvious to a person having ordinary skill in the art at the time of applicant's invention to modify combined teachings of Tatsuoka, and Zhang by having the code generate a region of confidence as taught by Shen to have a confidence interval source code segment that constructs a confidence interval for the test statistic.

For the purpose of using this information to determine classification.

### ***Claim Rejections - 35 USC § 103***

5. Claims 12, 13, 14, 24, 25 are rejected under 35 U.S.C. 103(a) as being unpatentable over the combination of Tatsuoka, and Zhang, as set forth above, and further in view of Fayyad. (U. S. Patent 6012058, referred to as **Fayyad**)

Claims 12, 14 and 25.

Tatsuoka, and Zhang do not teach in which each of the plurality of data sets RDB(i) has the same size, dimension and distribution as the original data set.

Fayyad teaches in which each of the plurality of data sets RDB(i) has the same size (**Fayyad**, C5:7-26; 'Size' of applicant is equivalent to 'M' of Fayyad.), dimension (**Fayyad**, C1:30-37) and distribution (**Fayyad**, C2:5-15) as the original data set. It would have been obvious to a person having ordinary skill in the art at

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the time of applicant's invention to modify combined teachings of Tatsuoka, and Zhang by taking into account the size, dimension and distribution of data as taught by Fayyad to have in which each of the plurality of data sets RDB(i) has the same size, dimension and distribution as the original data set.

For the purpose of flexibility so the method can render a refined answer due to the fact the results are based upon the input characteristics.

Claim 13.

Tatsuoka teaches a computing device for executing computer readable code. (**Tatsuoka**, C1:6-10; If Tatsuoka is computer implemented then it can read and execute computer code.)

Tatsuoka does not teach an input device for receiving data, the input device being in communication with the computing device.

Zhang teaches an input device for receiving data, the input device being in communication with the computing device. (**Zhang**, abstract) It would have been obvious to a person having ordinary skill in the art at the time of applicant's invention to modify combined teachings of Tatsuoka, and Zhang by using an input device as taught by Fayyad to have an input device for receiving data, the input device being in communication with the computing device.

For the purpose of having the ability to input the device so the method can operate.

Tatsuoka teaches at least one data storage device for storing computer data, the data storage device being in communication with the computing device

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(**Tatsuoka**, C7:27-30); and a programming code reading device that reads computer executable code, the programming code reading device being in communication with the computing device. (**Tatsuoka**, C1:6-10 If Tatsuoka is computer implemented means it can read and execute computed code, this implies there is a device that can read the code. Computer keyboard, hard drive, floppy disk, disk, or flash drive are all components of 'computer implemented'.)

Tatsuoka and Zhang do not teach the computer executable code causing the computing device to generate a plurality of random data sets, each random data set having a second size, dimension and distribution relating to the original data set.

Fayyad teaches the computer executable code causing the computing device to generate a plurality of random data sets, each random data set having a second size (**Fayyad**, C5:7-26; 'Size' of applicant is equivalent to 'M' of Fayyad.), dimension (**Fayyad**, C1:30-37) and distribution (**Fayyad**, C2:5-15) relating to the original data set. It would have been obvious to a person having ordinary skill in the art at the time of applicant's invention to modify combined teachings of Tatsuoka, and Zhang by using parameters as size, dimension and distribution as taught by Fayyad to have the computer executable code causing the computing device to generate a plurality of random data sets, each random data set having a second size, dimension and distribution relating to the original data set.

For the purpose of flexibility so the method can render an refined answer due to the fact the results are based upon the input characteristics.

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Tatsuoka teaches calculate a plurality of numerical values of test statistics corresponding to the plurality of random data sets, each numerical value being calculated according to a test statistic formula (**Tatsuko**, C8:17-29; 'NTS' of applicant is equivalent to 'SPS' of Tatsuko.); and determine a relationship between the plurality of numerical values and the numerical value of the test statistic corresponding to the original data set, calculated in accordance with the test statistic formula. (**Tatsuoka**, C16:9-17; 'Determining' of applicant is equivalent to 'test subject classified' in step 19 of Tatsuoka.)

#### Claim 24.

Tatsuoka teaches a calculating source code segment that calculates a plurality of numerical values of test statistics corresponding to a plurality of randomly generated data sets, calculated in accordance with the predetermined test statistic formula. (**Tatsuoka**, C16:1-8)

Tatsuoka and Zhang do not teach each randomly generated data set having a second size, dimension and distribution relating to the original data set.

Fadday teaches each randomly generated data set having a second size (**Fayyad**, C5:7-26; 'Size' of applicant is equivalent to 'M' of Fayyad.), dimension (**Fayyad**, C1:30-37) and distribution relating to the original data set. (**Fayyad**, C2:5-15) It would have been obvious to a person having ordinary skill in the art at the time of applicant's invention to modify combined teachings of Tatsuoka, and Zhang by using input parameters such as size, dimension, and distribution as

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taught by Fayyad to have each randomly generated data set having a second size, dimension and distribution relating to the original data set.

For the purpose of flexibility so the method can render an refined answer due to the fact the results are based upon the input characteristics.

Tatsuoka teaches a comparing source code segment that compares a numerical value of a test statistic calculated in accordance with the predetermined test statistic formula and calculated with the original data set, with the plurality of numerical values corresponding to the plurality of randomly generated data sets (**Tatsuoka**, C16:9-17; 'Comparing' of applicant is equivalent to 'test subject classified' in step 19 of Tatsuoka.); and a determining source code segment that determines that at least one factor in the original data set did not arise by chance when the numerical value of the test statistic calculated from the original data set is not within a range, within the plurality of numerical values corresponding to the plurality of randomly generated data sets, representative of numerical values arising by chance. (**Tatsuoka**, abstract; 'Not arise on chance' of applicant is equivalent to 'decision-theoretic rules' of Tatsuoka.)

### ***Conclusion***

6. The prior art of record and not relied upon is considered pertinent to the applicant's disclosure.

-U. S. patent 6011830: Sasin

-'Keys to successful Designed Experiments': Anderson, Kraber

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- ‘Design of experiments’: Wikipedia
- ‘Six Sigma and Beyond’: Pyzdek
- ‘Design of experiments (DOE) in the semiconductor industry’: Joshi
- U. S. Patent 5276877: Friedrich
- U. S. Patent 6023572: Lautzenheiser
- U. S. Patent 5842002: Schnurer
- U. S. Patent 4829446: Draney
- U. S. Patent 5984511: Vasey-Glandon
- U. S. Patent 5612895: Balaji
- U. S. Patent 5495417: Fuduka

7. Claims 1-34 are rejected.

### ***Correspondence Information***

8. Any inquiry concerning this information or related to the subject disclosure should be directed to the Examiner Peter Coughlan, whose telephone number is (571) 272-5990. The Examiner can be reached on Monday through Friday from 7:15 a.m. to 3:45 p.m.

If attempts to reach the Examiner by telephone are unsuccessful, the Examiner's supervisor David Vincent can be reached at (571) 272-3687. Any response to this office action should be mailed to:



Art Unit: 2129

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Peter Coughlan

5/19/2006

